

Scaling feature of magnetic field induced Kondo-peak splittings

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By using the full density matrix approach to spectral functions within the numerical renormalization group method, we present a detailed study of the magnetic field induced splittings in the spin-resolved and the total spectral densities of a Kondo correlated quantum dot described by the single level Anderson impurity model. The universal scaling of the splittings with magnetic field is examined by varying the Kondo scale either by a change of local level position at a fixed tunnel coupling or by a change of the tunnel coupling at a fixed level position. We find that the Kondo-peak splitting Δ/T_K in the spin-resolved spectral function always scales perfectly for magnetic fields $B < 8T_K$ in either of the two T_K -adjusted paths. Scaling is destroyed for fields $B > 10T_K$. On the other hand, the Kondo peak splitting δ/T_K in the total spectral function does slightly deviate from the conventional scaling theory in whole magnetic field window along the coupling-varying path. Furthermore, we show the scaling analysis suitable for all field windows within the Kondo regime and two specific fitting scaling curves are given from which certain detailed features at low field are derived. In addition, the scaling dimensionless quantity $\Delta/2B$ and $\delta/2B$ are also studied and they can reach and exceed 1 in the large magnetic field region, in agreement with a recent experiment [T. M. Liu *et al.*, *Phys. Rev. Lett.* **103**, 026803 (2009)].

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I. INTRODUCTION

As the prototypical many-body phenomenon, the Kondo effect¹ has attracted much attention for decades, and it still occupies a central role for the understanding of many frontier problems of condense matter physics. Dealing with the interaction between a localized spin and delocalized conduction electrons, the Kondo effect has a characteristic energy scale^{2,3} T_K which is defined as the Kondo temperature at which Kondo conductance has decreased from its extrapolated zero-temperature height to half of this value. By using T_K as dimensionless unit, many physical phenomena can exhibit universal scaling relations⁴⁻⁶ which offer a shortcut to grasp the intrinsic Kondo picture. One type of scaling analysis occurs when an external magnetic field is applied to magnetic impurity systems [or quantum dot (QD)⁷]: the splitting of zero-bias Kondo conductance peak depends universally only on B/T_K under a magnetic field.^{5,7-12} That is to say, in a wide magnetic field window, after rescaling treatment all splittings under different parameters δ/T_K will follow the same path with B/T_K as the scaling variable. Such magnetic field window can extend in theory from zero field up to fields $B \gg T_K$,^{9,13,17} whereas in experimental measurements^{7,14,15} magnetic fields rarely exceed $5T_K$. For example, a detailed investigation in Kondo regime, in particular for larger fields at around $100T_K$, has been made in the work by Rosch *et al.*¹³ These scaling properties are believed within the Kondo regime to be universal and cannot be affected by system parameters, although such magnetic field-induced nonequilibrium effect has not reached to the level of the equilibrium Kondo effect. Very recently, Liu *et al.*¹⁵ found large deviations from the conventional universal scaling analysis in the measurement of Kondo differential conductance peak splitting δ of a QD device. When adjusting T_K in two different paths (i.e., via energy level ε_d or the coupling strength Γ),

they found that the splitting δ also presented two different trends with the increment of T_K at a larger magnetic field B , far beyond the traditional scaling theory^{5,9-11} in Kondo effect. Such specific experimental results indicate that the scaling characteristic may encounter breakdown in certain situations, while all previous theories^{5,9-11} indicate that scaling analysis is independent on the path of adjusting T_K even at a larger B . Consequently it occurs to us that: does T_K remain suitable as the dimensionless scaling unit, especially in a larger magnetic field situation? Therefore, a careful theoretical examination of the scaling relation and its universal characteristic under an external magnetic field is in great need.

In this paper, we present a detailed investigation of scaling in the magnetic field induced splitting of the Kondo resonance in a Kondo QD, whose universal characters are examined by adjusting T_K in two different paths (i.e., via ε_d and Γ). By using the full density matrix numerical renormalization group¹⁶ (FDM-NRG), we perform precise calculations of the splitting of magnetic field-induced Kondo peak in the total spectral function (denoted as δ) as well as in the spin-resolved spectral function (denoted as Δ). The FDM-NRG method has many advantages compared to the conventional way used in previous works,¹⁰ such as the complete basis set, the rigorous holding of the sum rules for the spectral function, and less sensitivity of the results to the number of kept states. Such new features make our results more accurate than those from the previous studies.

From the numerical results, we find that the splitting Δ in the spin-resolved spectral function shows perfect universal scaling characteristic no matter which path is taken to adjust T_K as long as the magnetic field B is less than $8T_K$. For large fields B (e.g., $B > 10T_K$), deviations to scaling are found. For the spin-averaged situation (corresponding to total spectral function), small deviations of δ from universal scaling characteristic always exist along the Γ -varying path as long as

the magnetic field is applied. Furthermore, we also fit the scaling curves (f_δ and f_Δ) for both splittings δ/T_K (by averaging out to eliminate small deviation) and Δ/T_K . The fitting curves f_δ and f_Δ have the following characteristics: (1) The fitting curves f_δ has a threshold value at about $0.5T_K$, while f_Δ is always nonzero under a field B/T_K . (2) In the low field ($B \sim T_K$), f_δ exhibits the linear behavior with the slope coefficient 0.663 which is consistent to $2/3$ from the Fermi liquid theory. (3) Two curves f_δ and f_Δ get far away with one another and they do not merge into a single curve in the large magnetic field region. In addition, the dimensionless scaling quantities $\Delta/2B$ and $\delta/2B$ are also investigated and we find that they can reach and exceed 1 when magnetic field is large enough, in agreement with a recent experiment.¹⁵

The rest of this paper is organized as follows. In Sec. II we introduce our model and the FDM-NRG method. In Sec. III, we show numerical results of the magnetic-field-induced scaling argument. Finally, Sec. IV gives the conclusion.

II. MODEL AND FDM-NRG METHOD

We consider the single-impurity Anderson model (SIAM),¹⁸ and the Hamiltonian is given by:

$$\begin{aligned}
 H &= H_{\text{dot}} + H_{\text{leads}} + H_{\text{coupling}} \\
 H_{\text{dot}} &= \sum_{\sigma} \varepsilon_d d_{\sigma}^{\dagger} d_{\sigma} + U d_{\uparrow}^{\dagger} d_{\downarrow}^{\dagger} d_{\downarrow} d_{\uparrow} \\
 H_{\text{leads}} &= \sum_{k\alpha\sigma} \varepsilon_{k\alpha} C_{k\alpha\sigma}^{\dagger} C_{k\alpha\sigma} \\
 H_{\text{coupling}} &= \sum_{k\alpha\sigma} t_{\alpha} C_{k\alpha\sigma}^{\dagger} d_{\sigma} + \text{H.c.}
 \end{aligned} \quad (1)$$

In the above Hamiltonian, the Fermion operator $C_{k\alpha\sigma}$ denotes the band states of leads with energy $\varepsilon_{k\alpha}$ and spin σ (\uparrow, \downarrow), and d_{σ} describes the impurity states with energy ε_d ; U corresponds to the Coulomb interaction between two electrons with different spin embedded at the impurity site, and H.c. denotes ‘‘Hermitian conjugate.’’ Besides, α indicates different leads L and R , and t_{α} is the coupling between two subsystems. Accordingly, the hybridization function between subsystems is given by:

$$\begin{aligned}
 \Gamma_{\alpha} &= 2\pi \sum_k |t_{\alpha}|^2 \delta(\omega - \varepsilon_{k\alpha}) \quad (\alpha = L, R) \\
 \Gamma &= \Gamma_L + \Gamma_R
 \end{aligned} \quad (2)$$

we can see that $\Gamma_{L/R}$, as well as Γ , will be constant in the broadband limits (adopted henceforth).

By using the canonical transformation:

$$\begin{aligned}
 a_{k\sigma} &= (t_L C_{kL\sigma} + t_R C_{kR\sigma}) / \sqrt{t_L^2 + t_R^2} \\
 b_{k\sigma} &= (-t_R C_{kL\sigma} + t_L C_{kR\sigma}) / \sqrt{t_L^2 + t_R^2},
 \end{aligned} \quad (3)$$

we can see only the even combination of left and right electron states $a_{k\sigma}$ couples to the local impurity state:

$$\begin{aligned}
 H_{\text{leads}} &= \sum_{k\sigma} \varepsilon_k a_{k\sigma}^{\dagger} a_{k\sigma} + \sum_{k\sigma} \varepsilon_k b_{k\sigma}^{\dagger} b_{k\sigma} \\
 H_{\text{coupling}} &= \sum_{k\sigma} V a_{k\sigma}^{\dagger} d_{\sigma} + \text{H.c.},
 \end{aligned} \quad (4)$$

where $V \equiv \sqrt{t_L^2 + t_R^2}$.

There are many ways to solve SIAM, such as the slave-boson mean-field theory, the Bethe ansatz approach, the numerical renormalization group (NRG) method, *etc.*, among which the Wilson’s NRG method^{19,20} has been proven to be an efficient and powerful tool to deal with impurity system,²¹ especially to obtain its Kondo features. If we are only interested in the transport properties, there are standard procedures to make use of NRG method:

- (i) discretization of continuous Hamiltonian and its mapping to a semi- infinite chain;
- (ii) iterative diagonalization of the chain and yield of flow of many-particle levels;
- (iii) calculation of dynamic properties, such as the spectral functions.

The first two steps can be easily accomplished by following Ref. 21, and finally the Hamiltonian Eq. (1) becomes:

$$\begin{aligned}
 H &= \lim_{n \rightarrow \infty} \Lambda^{-(n-1)/2} H_n \\
 H_0 &= \Lambda^{-1/2} \left[H_{\text{dot}} + \sum_{\sigma} \sqrt{\frac{2\bar{\Delta}}{\pi}} (d_{\sigma}^{\dagger} C_{0\sigma} + C_{0\sigma}^{\dagger} d_{\sigma}) \right] \\
 H_{n+1} &= \sqrt{\Lambda} H_n + \Lambda^{n/2} \sum_{\sigma} t_n (C_{n\sigma}^{\dagger} C_{n+1\sigma} + C_{n+1\sigma}^{\dagger} C_{n\sigma}),
 \end{aligned} \quad (5a) \quad (5b)$$

where Λ is the logarithmic discretization parameter, $\bar{\Delta} \equiv \pi \sum_k V^2 \delta(\omega - \varepsilon_k) = (\Gamma_L + \Gamma_R)/2 = \Gamma/2$ is also the hybridization function between impurity subsystem and leads subsystem. H_0 is the starting point of the above sequence of Hamiltonians Eq. (5b) from which an iteration procedure can be established. t_n , denoting the hopping term between two neighbor sites along the chain, has an exponential decreasing feature with increasing n and reduces to $t_n \rightarrow \frac{1}{2}(1 + \Lambda^{-1})\Lambda^{-n/2}$ in the limit of large n .

Once Eq. (5) is obtained, there are many ways available to derive dynamic properties by calculating impurity spectral function in the Lehmann representation. Within the past few years, the developments of NRG method, extending its application range to various subjects including the bosonic²² and time-dependent²³ situations, are mainly in the subject of dynamic properties. These successive improvements of NRG method, including the reduced density matrix (DM),²⁴ the complete set of states combined with the reduced density matrix idea (CFS),²⁵ and most recently the full density matrix together the complete set of eliminated states (FDM),¹⁶ have great advantages compared to the conventional way,^{2,26} especially the last development FDM-NRG, which is far ahead of the conventional method, exceeds in many aspects, such as dealing with the impurity problem under an external magnetic field, describing spectral features at finite temperature with high accuracy, holding the sum rules of spectral

function rigorously, using a complete basis set, less sensitivity of the results to the number of kept states, etc.

By using the FDM-NRG method, completeness relation reads as follows:²⁵

$$1 = \sum_{m=m_{\min}}^N \sum_{l,e} |l,e\rangle_{mm}^{DD} \langle l,e|, \quad (6)$$

where N denotes total length of the semi-infinite chain, m_{\min} is the first site at which states are discarded. $|k,e\rangle_m^K = |k\rangle_m^K \otimes \{|\sigma_{m+1}\rangle\} \otimes \dots \otimes \{|\sigma_N\rangle\}$ and $|l,e\rangle_m^D = |l\rangle_m^D \otimes \{|\sigma_{m+1}\rangle\} \otimes \dots \otimes \{|\sigma_N\rangle\}$, in which $|k\rangle_m^K$ and $|l\rangle_m^D$ denote the kept and discarded states of the m th iteration shell respectively, and $\{|\sigma_m\rangle\}$ represents the set of 4 states in the m th site along Wilson's chain (i.e.: $|0\rangle, |\uparrow\rangle, |\downarrow\rangle, |\uparrow, \downarrow\rangle$); thus $|k,e\rangle_m^K, |l,e\rangle_m^D$ can be seen as kept and discarded states of the m th shell containing all information of whole system H_N rather than only its first m sites. Therefore, such treating technique naturally involves the influence of "environment."²⁴

The next step is to make use of complete basis of set Eq. (6) to solve the retarded Green's function which is given by:

$$G_{AB}(t) = -i\Theta(t)\text{Tr}[\rho\{A(t), B(0)\}], \quad (7)$$

where operators A, B stand for $d_\sigma, d_\sigma^\dagger$, respectively, $\{A, B\} \equiv AB + BA$ is the standard anticommutation relation, and ρ is the full density matrix:

$$\rho = \sum_n \sum_{l,e} |l,e\rangle_n^D \frac{e^{-\beta E_l^n}}{Z} \langle l,e| \equiv \sum_n w_n \rho_n, \quad (8)$$

where E_l^n is the l th (discarded) eigenstate of n th iteration step, $w_n = \frac{4^{N-n} Z_n}{Z}$ is relative weight holding the sum rule $\sum_n w_n = 1$, and ρ_n corresponds to the density matrix of each single shell n : $(\rho_n)_{l,l'} = \delta_{l,l'} \frac{e^{-\beta E_l^n}}{Z_n}$, in which $Z_n = \sum_l^D e^{-\beta E_l^n}$ is its distribution function containing only discarded states. Noticeably, such full density matrix treatment, in contrast to the "single-shell approximation" of CFS-NRG method, is the spirit of FDM-NRG method.

Substituting the completeness relation Eq. (6) into the retarded Green's function Eq. (7) and following the work by Peter *et al.*,²⁵ we can easily derive final results in the energy space as follows:

$$\begin{aligned} G_{AB}^r &= G_{AB}^1 + G_{AB}^2 + G_{AB}^3 \\ G_{AB}^1 &= \sum_n \left\{ w_n \sum_{l,l'} A_{l,l'}^{[DD]} B_{l',l}^{[DD]} \cdot \frac{[\rho^{nn}]_{ll} + [\rho^{nn}]_{l'l'}}{\omega + E_l^n - E_{l'}^n + i\eta} \right\} \\ &+ \sum_n \left\{ w_n \sum_{l,k} A_{l,k}^{[DK]} B_{k,l}^{[KD]} \cdot \frac{[\rho^{nn}]_{ll}}{\omega + E_l^n - E_k^n + i\eta} \right\} \\ &+ \sum_n \left\{ w_n \sum_{l,k} B_{l,k}^{[DK]} A_{k,l}^{[KD]} \cdot \frac{[\rho^{nn}]_{ll}}{\omega + E_k^n - E_l^n + i\eta} \right\} \\ G_{AB}^2 &= \sum_n \left\{ \sum_{l,k,k'} \left[\sum_{m>n} w_m [\rho^{nm}]_{k,k'} \right] \cdot \frac{A_{l,k}^{[DK]} \cdot B_{k',l}^{[KD]}}{\omega + E_l^n - E_k^n + i\eta} \right\} \end{aligned}$$

$$G_{AB}^3 = \sum_n \left\{ \sum_{l,k,k'} \left[\sum_{m>n} w_m [\rho^{nm}]_{k',k} \right] \cdot \frac{B_{l,k'}^{[DK]} \cdot A_{k,l}^{[KD]}}{\omega + E_k^n - E_l^n + i\eta} \right\}, \quad (9)$$

where $R_{rs}^{[XY]} \equiv {}_n \langle r | R | s \rangle_n^Y$, in which $r, s \in \{k, l\}$ denote the kept (k) and discarded (l) states, superscript $X, Y \in \{K, D\}$ indicates "Kept" or "Discarded," and R is the fermionic impurity operator d_σ or d_σ^\dagger . Such matrix elements can be obtained within iteration process. Besides, $[\rho^{nm}]_{k,k'} \equiv \sum_{e,m} \langle k, e | \rho_n | k', e \rangle_m^K$ are elements of the n th component of reduced density matrix of the shell m . Noticeably, Eq. (9) is an equivalent formulation of the FDM Green function which is identical to that in Ref. 16. We rewrite this result in a similar form as in the work by Peter *et al.*²⁵ in order to reduce the time cost when processing calculation; furthermore, Eq. (9) can be easily reduced to the result in Ref. 25 by applying the single-shell approximation $w_n = \delta_{n,N}$, thus it provides an intuitional comparison between FDM-NRG method and CFS-NRG method in the final result.

By using Eq. (9), we can calculate the retarded Green's function of impurity, and consequently all dynamic properties can be obtained straightforwardly. For instance, the spectral functions can be obtained at once:

$$A_\sigma(\omega) = -\frac{1}{\pi} \text{Im} G_{d_\sigma, d_\sigma^\dagger}^r, \quad (10)$$

such treatment yields a discrete spectral function rather than a smooth one, and this problem can be overcome by using a broadening function for each single δ peak. The kernel function in this paper has a similar form as the one proposed by Weichselbaum and Delft:²⁷

$$P_{LG}(\omega, \omega') = \frac{\theta(\omega \cdot \omega')}{\sqrt{\pi\alpha}|\omega|} \cdot \exp\left[-\left(\frac{\log|\omega/\omega'|}{\alpha} - \gamma\right)^2\right]$$

$$P_G(\omega, \omega') = \frac{1}{\sqrt{\pi\beta}} \cdot \exp\left[-\frac{(\omega - \omega')^2}{\beta^2}\right]$$

$$H(\omega, \omega') = \begin{cases} 1, & |\omega'| > \omega_0 \\ \exp\left[-\left(\frac{\log|\omega'/\omega_0|}{\alpha}\right)^2\right], & |\omega'| \leq \omega_0 \end{cases}$$

$$\delta(\omega - \omega') \rightarrow P_{LG} \cdot H + P_G \cdot (1 - H), \quad (11)$$

where $\alpha, \beta, \gamma, \omega_0$ are relative parameters and their values are fixed in practical calculation at a certain temperature T : $\alpha = 0.8$, $\beta = 2T$, $\gamma = \alpha/4$, $\omega_0 = 2T$. Noticeable, since $H(\omega, \omega')$ is independent on parameter ω , thus the above broadening function make our spectral function hold sum rule identically on the algorithms, also at finite field B and finite temperature T .

Since the broadening of δ function occurs only in the last step of NRG method for spectral function calculation, thus the influence of broadening on the splitting of Kondo peak is actually the approximation that we use a smooth distribution function to replace the discrete δ function so that we can obtain smooth spectral functions. There are many logarithm-

mic features in Kondo effect, thus the logarithmic Gaussian broadening function P_{LG} is suitable for describing Kondo effect as well as certain features. As the analysis in Ref. 27, the kernel broadening function Eq. (11) can give the most accurate smooth spectral functions than ever and it also has many advantages such as P_{LG} is symmetric under $\omega \leftrightarrow \omega'$ for the choice $\gamma = \alpha/4$.

Noticeably, although we adopted FDM-NRG method¹⁶ here, it is equivalent to CFS-NRG method²⁵ in this paper, because the investigation on magnetic-field-induced scaling analysis in Kondo regime shown below is totally in the zero temperature case where FDM-NRG method naturally becomes CFS-NRG method. Therefore, the kernel broadening function Eq. (11) (i.e., mixture of Gaussian and logarithmic Gaussian) is not actually used in this paper, but only the logarithmic Gaussian function P_{LG} works. Consequently the accuracy of our results is determined by P_{LG} , and such accuracy has been enough to grasp Kondo picture and describe precise Kondo features.

What's more, there is another technique to improve the accuracy: the z averaging,^{21,28} through which we can remove certain oscillations in spectral functions. In this paper we also adopt the z -averaging treatment in order to increase accuracy of our results.

Finally, by using Eqs. (9)–(11) we can calculate the impurity spectral function $A_\sigma(\omega)$ accurately and exactly, from which the Kondo physics can be derived with great interest. Specifically these expressions are very helpful for investigating the magnetic field-induced scaling features shown below.

Here, we have to pinpoint one difference. In practical experiments people usually measure how the splitting of Kondo conductance peak, which is extracted in the conductance G versus the bias V_{bias} curve, varies with the increment of magnetic field, as done in the work by Liu *et al.*¹⁵ for example. By contrast, we investigate the magnetic-field-induced splitting in spectral functions and keep the Fermi energy of left and right leads aligned with each other, i.e.: $V_{\text{bias}} = 0$. The two treatments are equivalent to one another in studying the Kondo scaling features under a magnetic field. Furthermore, the splitting of Kondo peak in spectral functions is also experimentally measurable, e.g., by using an extra weak probe terminal.²⁹

III. MAGNETIC FIELD-INDUCED SCALING ARGUMENT

We calculate the spin-resolved spectral functions $A_\uparrow(\omega), A_\downarrow(\omega)$ and total spectral function $A(\omega)$ by using Eqs. (9)–(11) of FDM-NRG method. In equilibrium situation without an applied magnetic field, there are three peaks coming out in spectral functions, among which two peaks correspond to the energy level at $\omega = \varepsilon_d, \varepsilon_d + U$, while the third one corresponds to Kondo resonance peak roughly at the Fermi level $\omega = 0$. Under an external magnetic field B , however, the Kondo resonance peak will split into two separate peaks as shown in Fig. 1(a). These peak positions, denoted as $\delta_\uparrow, \delta_\downarrow$ in the spin-averaged case and $\Delta_\uparrow, \Delta_\downarrow$ in the spin-resolved case, can be extracted from spectral functions at the maximum values which can be determined by analyzing derivatives. Through scanning a certain region, which contains two stag-

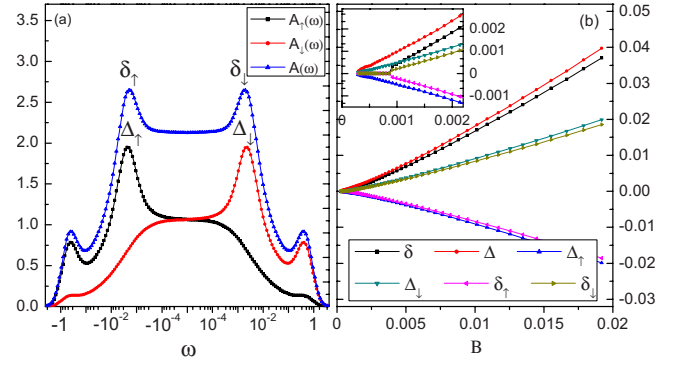


FIG. 1. (Color online) (a) The spin-resolved spectral functions $A_\uparrow(\omega)$ and $A_\downarrow(\omega)$ and the total spectral functions $A(\omega)$ versus the energy ω at the magnetic field $B = 3.273 \times 10^{-3}$. (b) shows the changes of the Kondo peak positions $\delta_\uparrow, \delta_\downarrow, \Delta_\uparrow,$ and Δ_\downarrow and the splittings δ and Δ with the increment of magnetic field B , with the inset as its magnification in a low-magnetic field window. The parameters of SIAM for (a) and (b) are the same: $U=1, \varepsilon_d=-0.5,$ and $\Gamma=0.16$ with its Kondo temperature $T_K=1.476 \times 10^{-3}$; NRG parameters: $\Lambda=2.5, N_s=150,$ and $T=0$.

nation points related to the splittings δ , by using binary search method, the splitting positions can be localized with high precision. In our calculation, this precision reaches $0.001T_K$. The splittings δ and Δ are then given by $\delta = \delta_\downarrow - \delta_\uparrow$ and $\Delta = \Delta_\downarrow - \Delta_\uparrow$. In the particle-hole symmetry case (i.e., $\varepsilon_d = -U/2$), these peaks are symmetry corresponding to the Fermi energy $\omega = 0$. Figure 1(b) shows these peak positions ($\delta_\uparrow, \delta_\downarrow, \Delta_\uparrow,$ and Δ_\downarrow) and the splittings (δ and Δ) as the function of magnetic field B . From Fig. 1(b), we can see three important magnetic field-induced features: First, the splitting δ has an obvious threshold value which has been predicted by previous theories,^{9,10} whereas the splitting Δ in the spin-resolved case always exists even in a very weak magnetic field B . Second, the δ - B curve can be seen as linear in a low field window while the Δ - B curve deviates from this feature. Third, with increasing field B , the two curves do not get closer to each other, conversely they become far away from one another. These features will be investigated in detail below.

We first find out how the scaling curves change with varying energy level ε_d which is one path of adjusting Kondo scale.³⁰

$$T_K = \frac{1}{2} \sqrt{\Gamma U} \exp[\pi \varepsilon_d (\varepsilon_d + U) / \Gamma U] \quad (12)$$

where Γ is the whole width of impurity's energy level. In Figs. 2(a) and 2(c), we can see the raw data extracted directly from spectral functions changing gradually along parameter-dependent paths, either in the spin-resolved case or in the spin-average case. It means that if we change some parameters, the evolution of the splittings Δ and δ vs B will go along another different path at once. However, after scaling treatment by using Kondo scale T_K as the dimensionless unit, these changes of all splittings with increasing B surprisingly go along the same path, as seen in Figs. 2(b) and 2(d). Such feature, which is called the scaling characteristic of Kondo

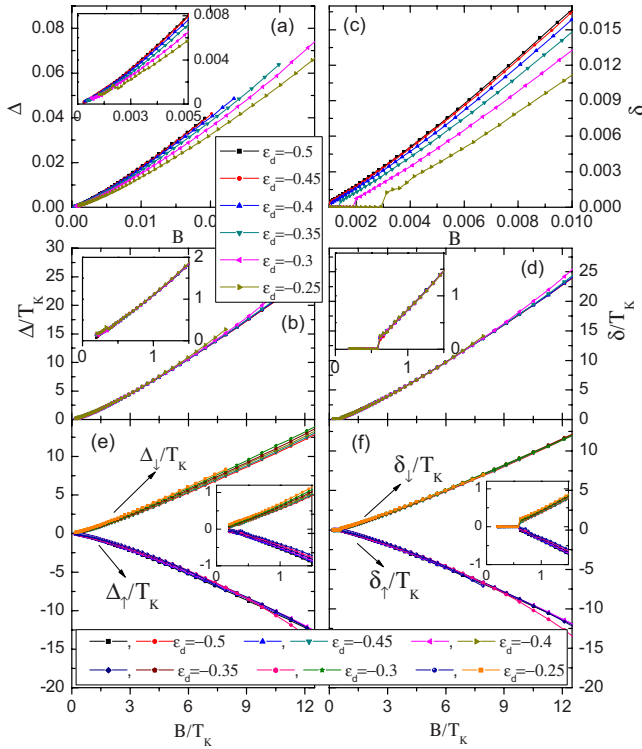


FIG. 2. (Color online) (a) and (c) represents the splittings Δ and δ vs B with different ε_d in the spin-resolved and total spectral function, respectively. (b) and (d) are the results of (a) and (c) after scaling treatment. The splitting positions in the spin-resolved spectral function with different ε_d are presented in (e), while such positions in the spin-averaged case are shown in (f). All insets are magnifications in a low B window to show certain details. All parameters are the same as in Fig. 1 except the energy level ε_d varying from -0.5 to -0.25 , with its Kondo temperature varying from 1.476×10^{-3} to 5.037×10^{-3} .

effect, is the main subject under detailed investigation in this paper. From the results of Figs. 2(b) and 2(d), the scaling characteristic will work well for magnetic field $B < 8T_K$. Whereas with magnetic field getting larger some deviations appear, because the system has been driven closer to the Kondo regime in a larger B region. When the magnetic field B is larger than $10T_K$, in which Kondo effect has been suppressed badly and the QD system is out of Kondo regime, obvious deviations in both of splittings δ and Δ are exhibited and the scaling theory won't be obeyed.

In order to clearly show that the system indeed is out of Kondo regime when the magnetic field B is larger than $10T_K$, we plot the curves Δ_{\uparrow}/B and Δ_{\downarrow}/B versus B/T_K by varying Γ (see Fig. 3). Here it can be seen clearly that the deviation (i.e., the non-universal features) are pushed to much larger field B with decreasing Γ , and in addition no deviations from scaling are found in a low field B . As a result, the deviations from scaling found at larger field $B > 10T_K$ are indeed due to leaving the Kondo regime. In the following, we will mainly focus on the Kondo regime with the magnetic field B less than $10T_K$.

Under further investigation of the scaling feature, two differences between the spin-resolved case and spin-averaged case reveal. At first the splitting δ has an obvious threshold

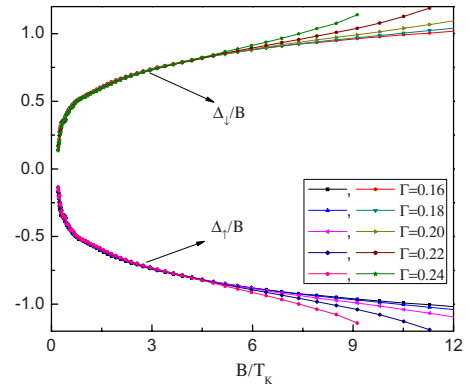


FIG. 3. (Color online) changes of Δ_{\uparrow}/B and Δ_{\downarrow}/B with the increment of B/T_K by varying Γ . The parameters are the same as in Fig. 1 except the coupling strength Γ varying from 0.16 to 0.24, with its Kondo temperature varying from 1.476×10^{-3} to 9.286×10^{-3} .

field value at around $0.5T_K$, by contrast we can't see such threshold field in the Δ/T_K vs B/T_K curve. This is because the two separated peaks in $A_{\uparrow}(\omega)$ and $A_{\downarrow}(\omega)$ are too close to each other that they overlap and cannot be separated in total spectral function. The second difference is about the scaling feature. From (b) and (d) (or by comparison between the insets) we can see the δ/T_K vs B/T_K curve presents a linear scaling characteristic, whereas the Δ/T_K vs B/T_K curve shows a nonlinear behavior in a low-field window.

Next we study the scaling characteristics for the positions of the Kondo peaks Δ_{\uparrow} , Δ_{\downarrow} , δ_{\uparrow} , and δ_{\downarrow} . Figures 2(e) and 2(f) show these peak positions (Δ_{σ}/T_K and δ_{σ}/T_K) vs the magnetic field B/T_K at different energy level ε_d . In approximation, all curves can merge to one curve and the scaling characteristics can hold. However, in detail, all positions of the Kondo peak slightly shift toward the same direction by varying energy level, in the whole magnetic field region (including the weak magnetic field $B < T_K$). The deviations are within $0.5T_K$ and the deviations in the Δ_{σ}/T_K - B/T_K curves are larger than that in the δ_{σ}/T_K - B/T_K curves. In addition, due to the deviations of the Δ_{σ} and δ_{σ} are toward the same direction, the splittings Δ ($\Delta = \Delta_{\downarrow} - \Delta_{\uparrow}$) and δ ($\delta = \delta_{\downarrow} - \delta_{\uparrow}$) can reduce certain parameter-induced deviations and well keep the scaling feature.

Following we adjust Kondo scale T_K in another path by varying the coupling strength Γ , in order to check whether scaling characteristic also works well in this situation. We find out some unusual details reveal in the inset of Fig. 4(a) although the behavior of splittings with increasing magnetic field shown in Fig. 4(a) looks much similar like the one in Figs. 2(b) and 2(d). Roughly speaking, we can consider the scaling feature as an effective characteristic yet. For the spin-resolved case, ignoring the larger field region where system has been driven closer to or out of Kondo regime, the field-induced splitting Δ/T_K scales perfectly for magnetic fields all the time. But for the spin-averaged case, we can't ignore such a fact that evolution of splittings δ/T_K with the increment of B/T_K don't go along exactly the same path, as is seen in inset of (a). Furthermore, we find that such slight deviation always exists as long as the magnetic field is ap-

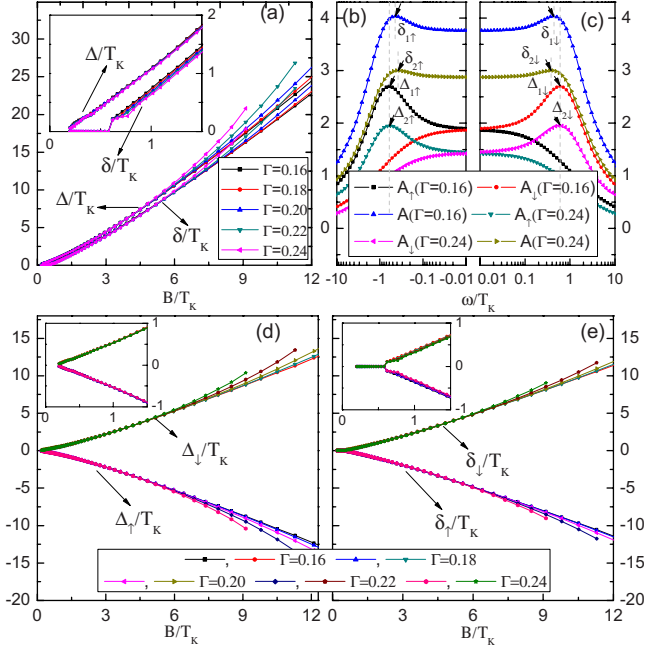


FIG. 4. (Color online) The variations of splittings Δ/T_K and δ/T_K vs B/T_K for different coupling strength Γ are exhibited in (a), and the corresponding Kondo-peak positions Δ_σ/T_K and δ_σ/T_K in the spin-resolved case and in the spin-averaged case are shown in (d) and (e), respectively. In addition (b) and (c) present the total and spin-resolved spectral functions for two specific cases: $\Gamma=0.16$ and $\Gamma=0.24$. All parameters are the same as in Fig. 3.

plied in system, and a larger field window is not necessary to reveal this feature along the Γ varying path.

The reason for inducing such deviation can be seen in Figs. 4(b) and 4(c) where the spectral functions under two specific coupling strength $\Gamma=0.16$ and 0.24 (denoted by using subscripts 1 and 2, respectively) are shown. Since the magnetic field is the only factor inducing Kondo peak splitting as mentioned above, the splitting positions Δ_\uparrow/T_K and Δ_\downarrow/T_K should be dependent only on field B and T_K . Along the ε_d varying path, we have seen that Δ/T_K scales perfectly, and here we see this effect also works well along the Γ varying path: as shown in Figs. 4(b) and 4(c), the positions Δ_σ/T_K of the Kondo peak under two different coupling strength are indeed nearly the same. On the other hand, the positions $\delta_{\uparrow(\downarrow)}/T_K$ in the spin-averaged case are not so luck such as the one in spin-resolved case. Certain parameters can really influence specific shape of spectral function and the high of Kondo peak (although the Kondo-peak position keeps fixed), and this makes the peak positions of total spectral functions shift a little toward Fermi energy (i.e.: $\omega=0$) with increasing coupling strength Γ as shown in Figs. 4(b) and 4(c). Here is in the place to pinpoint that such effect occurs as long as B is applied, that's to say the deviation from conventional scaling analysis will be observed under any value of external magnetic field, although this deviation is usually small [within $0.2T_K$ in our parameters, see the inset of Figs. 4(a)]. This result is consonant with the experimental work by Liu *et al.*¹⁵ in some aspects that the coupling strength can indeed induce deviation from scaling theory, but moreover our work indicates that such deviation occurs all

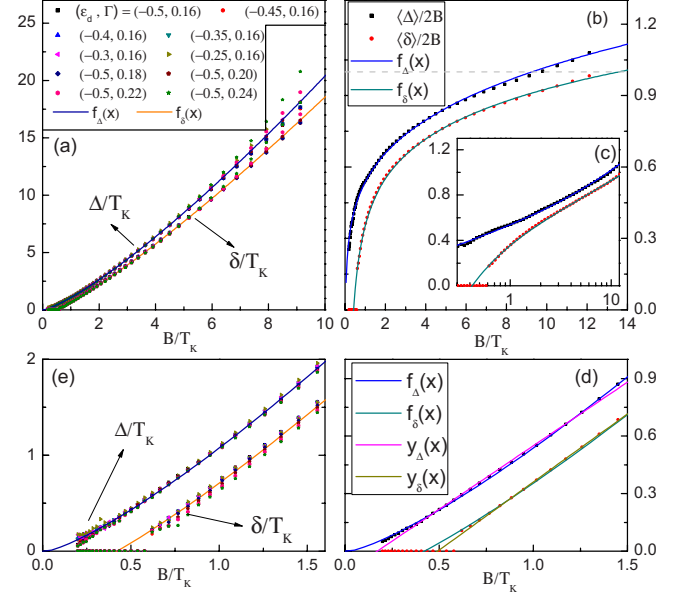


FIG. 5. (Color online) The comparison between the fitting curves f_Δ and f_δ and numerical data under various parameters are exhibited in subfigure (a), and (e) is its magnification in a low field window. (b) presents the fitting curves $f_\Delta/2B$ and $f_\delta/2B$ and the dimensionless average data $\langle\Delta\rangle/2B$ and $\langle\delta\rangle/2B$, where $\langle\Delta\rangle$ and $\langle\delta\rangle$ are the average values corresponding to the raw numerical data in (a). The inset (c) is the same with (b) but under the logarithmic coordinate. (d) presents f_Δ , f_δ , y_Δ , and y_δ while the magnetic field B is in the vicinity of T_K . The other parameters are the same as in Fig. 1

the time along Γ varying path, rather than localized in a larger field window.

Another possible way, which explains why Γ can induce the small deviation from scaling theory, is to investigate Kondo peak positions shown in Figs. 4(d) and 4(e). In present situation, the system remains symmetry ($2\varepsilon_d+U=0$) and thus these peak positions keep symmetry as well corresponding to the Fermi energy $\omega=0$. The Kondo-peak positions Δ_σ/T_K in the spin-resolved case keep nearly the same with changing Γ while the magnetic field $B < 6T_K$, thus, $\Delta/T_K-B/T_K$ curve fits the scaling theory well in this field window. In the spin-averaged case, however, the peak position δ_σ/T_K slightly deviates with changing Γ . Now the deviation of δ_\uparrow/T_K and δ_\downarrow/T_K are in the opposite direction because of the symmetrical peak positions. So this small deviation are not eliminated but enlarged in the splitting δ/T_K and the scaling theory cannot hold exactly along the Γ varying path.

Since observable deviation of δ/T_K vs B/T_K from scaling theory is usually small as is seen in Fig. 4(a), then such small deviation can be eliminated by averaging out so that scaling analysis can be processed. Next we present a scaling analysis suitable for all field windows within the Kondo regime, for both the spin-resolved case and spin-averaged case.

In Fig. 5(a), we exhibit the comparison between fitting curves and raw data extracted directly from the spectral functions. Such fitting curves are given by

$$f_\Delta(x) = ax^b \exp(-c/x)$$

$$f_{\delta}(x) = dx \exp(-f/x^{0.1}) + h, \quad (13)$$

with the parameters: $a=1.1185$, $b=1.2627$, $c=0.03992$; $d=18.2913$, $f=2.8572$, $h=-0.3421$. From Fig. 5(a) we can see the fitting curves fit numerical data very well in whole magnetic window, including a low field below the conventional threshold value $0.5T_K$. Thus we can study some detailed features in a low field with the help of fitting curves. For example, the splitting δ in spin-averaged case does have a threshold magnetic field at around $0.5T_K$ as is seen in (e), and this result is in quantitative agreement with previous theories.¹⁰ What's more, by using fitting curve $f_{\Delta}(x)$ we find out that in a low magnetic field the curve Δ/T_K vs B/T_K likes the power function and the first derivative of Δ/T_K with respect to B/T_K is always zero at the zero-field point. When magnetic field B is in the vicinity of T_K , the curves $f_{\Delta}(x)$ and $f_{\delta}(x)$ exhibit the linear behaviors:

$$\begin{aligned} y_{\Delta}(x) &= a_1x + b_1 \\ y_{\delta}(x) &= a_2x + b_2 \end{aligned} \quad (14)$$

with the parameters: $a_1=0.6632$, $b_1=-0.1136$; $a_2=0.7097$, $b_2=-0.3492$. In Fig. 5(d), we show the curves $f_{\Delta}(x)$, $f_{\delta}(x)$, $y_{\Delta}(x)$, and $y_{\delta}(x)$ together. It clearly shows that $y_{\delta\Delta}(x)$ is well consistent with $f_{\delta\Delta}(x)$. In particular, the coefficients a_1 of the slope of the curve $y_{\delta}(x)$ is 0.6632, which is nearly the same as $2/3$ derived through Fermi liquid theory.¹²

On the other hand, in the high-magnetic field region, the two curves $f_{\Delta}(x)$ and $f_{\delta}(x)$ do not close, whereas they get far away with the increment of B . This result is quite surprising. Generally speaking it is believed that splittings Δ/T_K and δ/T_K in the spin-resolved and spin-averaged cases should reach the limit of Zeeman splitting under a large enough magnetic field, thus the two scaling curves $f_{\Delta}(x)$ and $f_{\delta}(x)$ should get closer to one another rather than far away with increasing magnetic field. The key point for the getting far away behavior is that the peak position Δ_{\uparrow} always falls in the region where its second derivative of spin-down spectral function A_{\downarrow} with respect to ω/T_K is negative [see Figs. 4(b) and 4(c)].

In Figs. 5(b)–5(d), we show other scaling features investigated by previous works.^{9,10} $\delta/2B$ is another scaling dimensionless quantity and has been predicted to reach the limit value 1 (i.e., the splitting of the Kondo peak is equal to the Zeeman splitting) in the large magnetic field B limits.^{9,10} Whereas in recent experiments, such the limit value can be exceeded.^{8,15} In Fig. 5(b), we show the evolution of $\delta/2B$ vs the magnetic field B/T_K . The numerical results clearly ex-

hibit that $\delta/2B$ can reach and exceed 1, which is in agreement with the recent experiments.^{8,15} In addition, in the large field window, the curve of $\delta/2B-B/T_K$ shows the logarithmic behavior.¹¹ This behavior can clearly be seen in Fig. 5(c), in which the curve of $\delta/2B-\ln(B/T_K)$ is shown.

IV. CONCLUSION

In conclusion, by using the FDM-NRG method we study the scaling characteristics of the Kondo-peak splittings in a quantum dot system under a magnetic field. Similarly as in the recent experiment,¹⁵ two different ways to adjust the Kondo scale T_K , via the energy level ε_d and the coupling strength Γ , are considered. Both splittings Δ and δ of Kondo resonant peaks in the spin-resolved spectral function and the total spectral function are investigated in detail. We find that the splitting Δ/T_K in the spin-resolved case always scales perfectly for magnetic fields $B < 8T_K$ and regardless of the T_K -adjusted paths. When the magnetic field B is over $10T_K$, obvious deviations are exhibited since QD system has been out of the Kondo regime. On the other hand, δ/T_K in the total spectral function, which can be related to the experiments by Liu *et al.*,¹⁵ deviates slightly from the conventional scaling theory along the Γ -varying path. Such result is consonant with the experimental work by Liu *et al.* in some aspects, i.e.: the coupling strength can indeed induce deviation from scaling theory, but moreover our work indicates that such deviation occurs all the time as long as an external magnetic field is applied. Therefore, T_K is an unsuitable parameter as the scaling dimensionless unit in this situation. Since the deviation in the splitting δ/T_K is usually small, we can still make the scaling analysis for δ/T_K . The fitting curves (f_{δ} and f_{Δ}) for both splittings δ/T_K and Δ/T_K are presented. The fitting curves f_{δ} has a threshold value at around $0.5T_K$, but f_{Δ} is always nonzero while under the field B/T_K . In the low field ($B \sim T_K$), f_{δ} exhibits the linear behavior with its slope coefficient 0.663, consistent with the value of $2/3$ from the Fermi liquid theory. On the large field side, two curves f_{δ} and f_{Δ} get apart, and both $\Delta/2B$ and $\delta/2B$ can reach and exceed 1, which is also in agreement with a recent experiments.

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